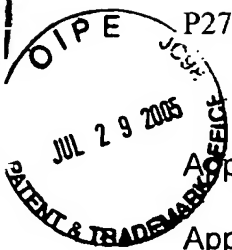


PC #3



P27754.A02.doc

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shin-ichi TANAKA

Appl. No : 10/531,735 (National Stage of PCT/JP2003/012666)

I.A. Filed : October 2, 2003

For : ELECTRONIC SETTLEMENT METHOD,  
RECORDING MEDIUM CARRYING ELECTRONIC  
MONEY AND PROCESSING DEVICE OF  
ELECTRONIC MONEY

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop AMENDMENT  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314  
Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, applicant brings the following information to the attention of the Examiner in charge of the above-identified application, which includes information cited in a International Search Report issued in connection with International Patent Application No. PCT/JP2003/012666, of which the present application is the U.S. National Stage Application. A copy of the International Search Report (in English) was enclosed with the papers when entering the National Stage on April 18, 2005. The Examiner is invited to review these materials to inspect the relevance indicated during international examination with respect to the documents cited therein. The following is a list of the documents cited in the International Search Report:

International Patent Application Publication No. WO 00/10068;

European Patent Application No. EP 1 232 776, together with patent family member U.S. Patent Application Publication No. 2002/0112236;

U.S. Patent Application Publication No.2001/0014870;

Japanese Laid-Open Patent Publication No. 2000-250988, together with an English language abstract of the same; and

LIETAER, B., (May 02, 2001), "Community Currencies: a New Tool for the 21st Century" (May 02, 2001) retrieved from <http://www.transaction.net/money/cc/cc01.htm>.

Applicant also brings to the Examiner's attention the following documents: which are cited on page 2 of the specification of the present application:

Japanese Laid-Open Patent Publication No. HEI 10-124604, together with an English language abstract of the same;

Japanese Laid-Open Patent Publication No. HEI 10-78989, together with an English language abstract of the same and patent family member U.S. Patent No. 5,937,391;

Japanese Laid-Open Patent Publication No. HEI 8-16668, together with an English language abstract of the same; and

Japanese Laid-Open Patent Publication No. HEI 3-191497, together with an English language abstract of the same.

Further, Applicant brings to the Examiner's attention the following documents:

Japanese Laid-Open Patent Publication No. 2000-132746, together with an English language abstract of the same;

Japanese Laid-Open Patent Publication No. 6,295,390, together with an English language abstract and patent family member

U.S. Patent Application Publication No. 2004/0054586

International Patent Application Publication No. WO 01/27830; and

International Patent Application Publication No. WO 01/29750.

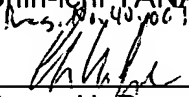
Further to 37 C.F.R. §1.98 (a)(2)(ii), copies of the U.S. patent and U.S. patent application publications are not enclosed. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Except for the U.S. patents and U.S. patent application publication document, copies of the above-noted documents are enclosed together with a Form PTO-1449. The Examiner is requested to consider each of these documents, and to make them of record in this application by initialing in the appropriate spaces on the Form PTO-1449. Applicant respectfully requests that the Examiner include a copy of the initialed Form PTO-1449 with the next communication from the U.S. Patent and Trademark Office.

Applicant notes that an Office Action on the merits has not issued in the present application, and thus no fee is believed necessary to ensure consideration of the submitted material.

Should there be any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Shin-ichi TANAKA

  
\_\_\_\_\_  
Bruce H. Bernstein  
Reg. No. 29,027

July 28, 2005  
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(703) 716-1191

FORM PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
P27754Application No.  
10/531,735INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

Applicant  
Shin-ichi TANAKAI.A. Filing Date  
October 2, 2003Group  
Not Yet Assigned

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2002/ 0 1 1 2 2 3 6	08/15/02	SUKEDA et al.			
	2001/ 0 0 1 4 8 7 0	08/16/01	SAITO et al.			
	5 9 3 7 3 9 1	08/10/99	IKEDA et al.			
	2004/ 0 0 5 4 5 8 6	3/18/04	TOMITA et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
0	0 / 1 0 0 6 8	02/24/00	W.I.P.O.			
	1 2 3 2 7 7 6	08/21/02	E.P.O.			
2000	- 2 5 0 9 8 8	09/14/00	JAPAN			
10	- 1 2 4 6 0 4	05/15/98	JAPAN			
1	0 - 7 8 9 8 9	03/24/98	JAPAN			
	8 - 1 6 6 6 8	01/19/96	JAPAN			
3	- 1 9 1 4 9 7	08/21/91	JAPAN			
2000	- 1 3 2 7 4 6	05/12/00	JAPAN			
6	- 2 9 5 3 9 0	10/21/94	JAPAN			
0	1 / 2 7 8 3 0	04/19/01	W.I.P.O.			
0	1 / 2 9 7 5 0	04/26/01	W.I.P.O.			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1	English Language Abstract of JP 2000-250988.
2	LIETAER, B., (May 02, 2001), "Community Currencies: a New Tool for the 21 <sup>st</sup> Century" (May 02, 2001) .
3	English Language Abstract of JP 10-124604.
4	English Language Abstract of JP 10-78989.
5	English Language Abstract of JP 8-16668.
6	English Language Abstract of JP 3-191497.
7	English Language Abstract of JP 2000-132746.
8	English Language Abstract of JP 6-295390.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.